

SUSAN LOUISE ETTNER

CURRICULUM VITAE

PERSONAL HISTORY:

Business Address:

UCLA Department of Medicine
Division of General Internal Medicine
& Health Services Research
911 Broxton Plaza, Room 106
Box 951736
Los Angeles, CA 90095-1736

EDUCATION:

1985 University of Göttingen, Germany
UC Education Abroad Program

1986 University of California at Santa Barbara
B.A. in Mathematics/Economics
B.A. in German

1991 Massachusetts Institute of Technology
Ph.D. in Economics

PROFESSIONAL EXPERIENCE:

7/03- Professor (primary appointment)
Division of General Internal Medicine & Health Services Research
UCLA Department of Medicine, Los Angeles, CA

7/03- Professor (joint appointment)
Department of Health Services, UCLA School of Public Health

2/99-6/03 Associate Professor (primary appointment)
Division of General Internal Medicine & Health Services Research
UCLA Department of Medicine, Los Angeles, CA

7/00-6/03 Associate Professor (joint appointment)
Department of Health Services, UCLA School of Public Health

7/98-1/99 Associate Professor
Department of Health Care Policy
Harvard Medical School, Boston, MA

8/91-7/98 Margaret T. Morris Assistant Professor
Department of Health Care Policy
Harvard Medical School, Boston, MA

PROFESSIONAL ACTIVITIES:

CeMENT Mentor American Economic Association, Committee on the Status of
Women in the Economics Profession

Committee Service:

2008-present Member, Provost's Committee on Faculty Work/Life Issues

2008-present Acting Director, Department of Medicine Statistics Core

2007-present Member, Academic Senate Committee on Charges

2007-present Co-Director, Steering Committee, Department of Medicine Statistics
Core

2006 Chair of DOM Search Committee for Biostatistician

2006 Chair, 13th NIMH Biennial Research Conference on the Economics of
Mental Health: Pharmacoeconomics

2005 Chair of DOM Search Committee for Health Services Research
Methodologist

2005 Member of DOM Search Committee for Clinical Trials Methodologist

2003-present Advisory Board, UCLA Early Care and Education

2003-2004 Chair, Ph.D. Committee, UCLA Department of Health Services

2001-2003 Ph.D. Committee, UCLA Department of Health Services

2001-2005 Gender Equity Committee, UCLA

2001 Member, UC Faculty Welfare Committee

2001 Chair, UCLA Faculty Welfare Committee

2000-2003 Member, UCLA Faculty Welfare Committee

2000-present Advisory Committee, UCLA Institute of Industrial Relations

2000-present STAR Mentorship Committee

1999-2000 Health Subcommittee, UC Faculty Welfare Committee

1999-2003 FLASH committee, UCLA

1999- Research Advisory Committee, RWJ Clinical Scholars Program

1994-1999 Faculty Council, Harvard Medical School

1994 Library Accreditation Committee, Harvard Medical School

1992-1998 Admissions Committee, Health Policy Ph.D. Program, Harvard University

Consulting Activities:

2008-present Consultant, National Evaluation Services and Costs Study

2006-present Consultant, "Assuring the Emotional Well-Being of Children in Child Welfare Environments Through Stable Health Insurance Coverage" (PI: Raghavan)

2006-present Member, External Advisory Committee, "K07: Becoming a Doctor at Albert Einstein College of Medicine: A New Curriculum" (PI: Marantz).

2006-present Member, Advisory Panel, "Economic Cost of Drug Abuse" (PI: Pacula/Ringel)

2006-present Member, Health Outcomes Advisory Board, Eli Lilly and Company.

2006-present Consultant, "Understanding and Improving Care for High Users of Medical and Mental Health Services Within the Safety Net" (PI: Lagomasino/Mohanty)

2002-2003 Member, Technical Expert Panel, *Design, Development, and Implementation of a Prospective Payment System for Inpatient Psychiatric Hospitals and Exempt Units.*

2002-2004 Consultant, *Do Free Glucose Strips Increase Self-Monitoring* (PI: Karter)

2001-2003 Consultant, *Children with Chronic Health Conditions: Family Impact* (PI: Kuhlthau)

2001-2002 Consultant, World Health Organization, *Mental Health Policy Project*

2001-2004 Member, Scientific Advisory Board, *National Vietnam Veterans Longitudinal Study.*

- 2001-present Member, Scientific Advisory Board, *Costs and Benefits of Alcohol Services and Interventions*
- 2001-2004 Consultant, Children's Hospital of Los Angeles, *Clinical, Emotional and Financial Impact Associated with Acute Sickle Cell Pain Crisis: A Pilot Study.*
- 2001-2004 Consultant, Children's Hospital of Los Angeles, *Clinical, Emotional and Financial Impact Associated with the Current Treatment of Fever/Neutropenia in Low Risk Pediatric Oncology Patients: A Pilot Study.*
- 1993-2000 Associate, John D. and Catherine T. MacArthur Foundation Research Network on Successful Mid-Life Development
- 1999-2001 Consultant, *Ineffective Utilization, Somatization, and Undetected Psychiatric Disorder* (PI: Barsky)
- 1999 Consultant, Texas State Government
- 1998-1999 Consultant, *Mental Health Services Research Program in Managed Care* (PI: Thomas Inui and Thomas McLaughlin)
- 1998 Consultant, *Epidemiology of Children's Mental Health in Rural Maine* (PI: Zahner)
- 1997-2000 Consultant, National Association of State Mental Health Program Directors
- 1997 Consultant, *Alternative Medicine* (PI: Eisenberg)
- 1996-1998 Consultant, *Patterns of Referral and Care for Children on Medicaid* (PI: Perrin)
- 1995-2000 Consultant, *Private Payers Serving Disabled Individuals and Research Synthesis on Managed Care for Persons with Disabilities* (PI: Burwell)
- 1995-1998 Consultant, *Wisconsin Special Care Initiative Project, Evaluation of Independent Care* (PI: Leff)
- 1993-1998 Member, Research Committee for Healthy Adolescent Project, Alliance for Young Families
- 1993-1997 Consultant, *Changes in Population Characteristics and Medicaid Utilization/Expenditures among Children and Adolescent SSI Recipients* (PI: Perrin)

Editorial and Advisory Boards

- 2008- Member, Science Advisory Panel, Center for Rural Mental Health

2007- Research, Western Interstate Commission for Higher Education
Associate Editor, *Health Economics*
2006- Editorial Board, *Medical Decision Making*
2005- Board of Directors, American Society of Health Economists (ASHE)
2005- Advisory Board Member, *Health Economics*
2003- Associate Editor, *Journal of Mental Health Policy and Economics*

Grant Reviewer For:

World Health Organization
National Institutes of Health, Health Services Section
National Institute of Aging
National Institute of Mental Health
National Institute of Alcohol and Alcohol Abuse

Manuscript Reviewer For:

New England Journal of Medicine
Health Services Research
Journal of Health Economics
Journal of the American Medical Association
The Milbank Quarterly
Medical Care
Journal of Human Resources
Social Science and Medicine
Inquiry
Review of Economics and Statistics
Industrial and Labor Relations Review
Health Care Financing Review
Southern Economic Journal
Journal of Labor Economics
The Journal of Behavioral Health Services and Research
Eastern Economic Journal

Professional Associations and Scholarly Societies:

Member, AcademyHealth

Member, American Society of Health Economists

Member, International Health Economics Association

2002 Member, Academy Fellows Committee
Academy for Health Services Research and Health Policy

2002 Member, Alice S. Hersch Award Nomination Committee
Academy for Health Services Research and Health Policy

HONORS AND SPECIAL AWARDS:

2001 Alice S. Hersch New Investigator Award
Academy for Health Services Research and Health Policy

2001 AcademyHealth Annual Research Meeting Outstanding Abstract

1985- Phi Beta Kappa

RESEARCH GRANTS AND FELLOWSHIPS RECEIVED:

Project: ***Impact of the Medicare Part D Benzodiazepine Exclusion on Managed Care Patients***
Source: NIMH
Purpose: To examine the implications of the loss of benzodiazepine coverage resulting from the Medicare Part D exclusion using linked prescription drug, behavioral and medical claims for UnitedHealth Group Medicare Advantage plan beneficiaries with anxiety diagnoses or a history of benzodiazepine use.
Dates: 06/01/07-05/31/09
Role: Principal Investigator

Project: ***Treatment Patterns, Expenditures, and Outcomes in MBHOs***
Source: NIMH
Purpose: To construct a merged MBHO database using data from the 2000 census and secondary sources, examine the relationship of patient clinical and sociodemographic characteristics with behavioral health care treatment patterns, and estimate the “dose response” relationship of the number of visits with the marginal increase in expenditures.
Dates: 01/01/04 – 3/31/07
Role: Principal Investigator

Project: ***Costs of Preventing Alcohol Problems in Older Adults***
Source: NIAAA
Purpose: A randomized trial to study the effectiveness and cost-effectiveness of an integrated patient-provider intervention to prevent harmful (presence of alcohol-related problems such as liver disease or depression) and hazardous alcohol use (risks for problems) in older adults.
Dates: 08/01/04 – 4/30/09
Role: Principal Investigator

Project: ***Center for Research in Older People with Psychoses***
Source: NIH
Purpose: The major goals of this center are to conduct research with the goal of improving care for older persons with mental illness.
Dates: 9/1/08-8/31/09
Role: Principal Investigator (subcontract)

Project: ***National Evaluation of the Comprehensive Community Mental Health Services for Children and Their Families Program***

Source: SAMHSA
 Purpose: The major goal of this project is to conduct a national multisite evaluation of the implementation of systems of care in these communities. The evaluation has several goals: describe the children and families served by the CMHS-funded systems of care; determine the nature and extent of clinical and functional outcomes for children and families served in systems of care; examine how children and families experience services within systems of care and how they use services and supports (i.e., utilization patterns); assess the effectiveness of the system of care approach as compared to usual service delivery approaches; and to assess the effectiveness of evidence-based treatments within systems of care.

Dates: 9/30/08 – 9/29/09
 Role: Principal Investigator (subcontract)

Project: ***Measuring the Structural Effect of Depression on Changes in Insurance Coverage***

Source: ERIU, The University of Michigan
 Purpose: This study will examine the structural impact of depression on health insurance coverage, using longitudinal data and instrumental variables methods.

Dates: 04/01/2003 – 03/31/2004
 Role: Principal Investigator

Project: ***Development and Application of Psychiatric Risk Adjustment Methods in the VA***

Source: Department of Veteran Affairs
 Purpose: To derive a diagnosis-based, psychiatric case-mix measure, specific to the VA, to profile and predict concurrent and future resource consumption among patients with MH/SA disorders across VA facilities

Dates: 04/01/2001 – 03/31/2004
 Role: Principal Investigator (subcontract)

Project: ***A Longitudinal Analysis of Mediating Factors in the SES and Health Relationship***

Source: Institute for Health Policy Studies/California Wellness Foundation
 Purpose: To examine factors mediating the effect of SES on mental and physical health

Dates: 01/01/2001-12/31/2001
 Role: Principal Investigator

Project: ***State Substance Abuse/Mental Health Managed Care Evaluation***

Source: Center for Substance Abuse Treatment
 Purpose: To evaluate the impact of managed care on the substance abuse treatment and outcomes of Medicaid and indigent patients

Dates: 8/1/2000-9/26/2001
 Role: Principal Investigator (subcontract)

Project: ***The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and***

Health Survey.
Source: Institute for Health Policy Studies/California Wellness Foundation
Purpose: To estimate the effect of health on employment and job characteristics.
Dates: 01/01/2000-12/31/2000
Role: Principal Investigator

Project: **HIV/AIDS Cost Study**
Source: SAMHSA/James Bell Associates
Purpose: To provide economic consultation to the research teams at the individual sites, coordinate the multi-site economic analyses, and provide verbal and written guidance to sites regarding the identification of economic research questions and measurement and estimation of economic outcomes.
Dates: 09/01/1998-08/31/2003
Role: Principal Investigator (subcontract)

Project: **Psychiatric Treatment and Outcomes of Medicare Patients**
Source: National Institute of Mental Health
Purpose: (UCLA Extension from project at Harvard) To examine the patient, provider and market characteristics associated with psychiatric treatment patterns among elderly and disabled Medicare beneficiaries
Dates: 9/28/1999-11/30/2000
Role: Principal Investigator

Project: **Psychiatric Treatment and Outcomes of Medicare Patients**
Source: National Institute of Mental Health
Purpose: To examine the patient, provider and market characteristics associated with psychiatric treatment patterns among elderly and disabled Medicare beneficiaries
Years: 1995-1999
Role: Principal Investigator

Project: **Costs and Outcomes of Post-Acute Care in a Managed Care Setting**
Source: Harvard University Milton Fund
Purpose: To conduct a pilot study to determine the feasibility of a research study in a Massachusetts HMO
Years: 1998
Role: Principal Investigator

Project: **The Impact of Having a Usual Source of Medical Care on the Timing of Preventive Services for Women**
Source: Harvard University Milton Fund
Purpose: To conduct a pilot study to determine the feasibility of a research study in a Massachusetts HMO
Years: 1992
Role: Principal Investigator

Project: **Evaluation of the Substance Abuse and Crime Prevention Act of 2000**
Source: State of California/Department of Alcohol and Drug Programs

Purpose: To evaluate the effectiveness and financial impact of the Substance Abuse and Crime Prevention Act of 2000
Dates: 06/05/2001-06/30/2005
Role: Co-Principal Investigator (PI: Longshore)

Project: ***UCLA/RAND/Drew Program to Address Disparities in Health***
Source: Agency for Health Care Research and Quality
Purpose: To study disparities in health and health care and develop interventions to improve health outcomes of African-Americans and Latinos.
Dates: 09/01/2001-08/31/2005
Role: Project Leader and Co-Investigator (PI: Shapiro)

Project: ***UCLA-RAND NIMH Partnered Research Center for Quality Care***
Source: National Institutes for Mental Health
Purpose: A collaboration of UCLA, RAND, USC, and health plan, services agency, and community and consumer partners, the proposed Center focuses on improving access to quality mental health services in communities through studying the impact of interventions at policy, practice, and community levels; and partnerships in research and program development, implementation, and evaluation to achieve science that is formed by and can inform community-based services delivery.
Dates: 8/1/2008 - 7/31/2013
Role: Co-Investigator (PI: Wells)

Project: ***Translational Research Centers for Diabetes Control within Managed Care Settings***
Source: Centers for Disease Control
Purpose: To examine quality of life and costs among 5,000 PacifiCare patients with diabetes
Dates: 02/01/04 – 01/31/10
Role: Co-Investigator (PI: Carol Mangione)

Project: ***Reducing the Disproportionate Burden of Orofacial Injury***
Source: NIDCR/NIDA
Purpose: To test the effectiveness of a personalized motivational intervention in promoting recovery from the initial orofacial injury; to test the effectiveness of the intervention in decreasing occurrence and severity of re-injury and increasing time to any re-injury; and to determine whether the intervention's effects on re-injury are mediated by recovery from the initial injury, reduced behavioral problems, and reduced psychological distress; and to perform a cost-offset analysis of the intervention.
Dates: 09/30/03 – 06/30/09
Role: Co-Investigator (PI: Shetty)

Project: ***Center for Research on Quality in Managed Care***
Source: National Institutes for Mental Health
Purpose: The Center seeks to improve quality of care for diverse populations, across disorders, age groups and ethnically diverse low-income populations.

Dates: 08/01/2003 – 08/31/2008
 Role: Co-Investigator (PI: Wells)

Project: ***Partners in Care for Children***
 Source: National Institute of Mental Health
 Purpose: To identify the factors related to the quality of ADHD care within publicly-funded carve-out mental health services

Dates: 9/01/2002-7/31/2009
 Role: Co-Investigator (PI: Zima)

Project: ***Costs of Care and Factors Associated with Terminal Admissions for Dying Veterans***
 Source: Palo Alto Institute for Research and Education, Inc./Robert Wood Johnson Foundation
 Purpose: This project will compare costs at the end of life among veterans who die in a palliative care unit vs. conventional setting of care

Dates: 10/01/2002 – 09/30/2003
 Role: Co-Investigator

Project: ***UCLA/DREW Project EXPORT***
 Source: NIH/NCMHHD
 Purpose: To promote academic careers that will focus on improving health disparities among minorities in the U.S.

Dates: 09/30/2002 – 08/31/2007
 Role: Co-Investigator (PI: Shapiro)

Project: ***Racial and Ethnic Disparities in Health Policy Model***
 Source: Health Resources Service Agency
 Purpose: To create a simulation model to address health disparities among minorities in the U.S.

Dates: 09/30/2002 – 08/31/2007
 Role: Co-Investigator

Project: ***Cost Analysis and Operation Assessment of Community-based Alcohol and Drug Treatment Programs***
 Source: Robert Wood Johnson Foundation
 Purpose: Supplement to *California Treatment Outcome Project*. The major goal of this project is to collect program operation information and cost data from community-based treatment programs participating in the California Outcome Project (CalTOP) in order to identify key program factors influencing treatment effectiveness and to support the “cost-offset” analysis

Dates: 08/01/2002 – 05/31/2003
 Role: Co-Investigator

Project: ***Impact of Medicare Policies on Utilization and Outcomes***
 Source: Agency for Healthcare Research and Quality
 Purpose: To study the impact of the Balanced Budget Act expenditure cuts on post-acute care utilization and clinical outcomes among Medicare patients who have undergone elective joint replacement surgery or surgical

management of hip fracture; and managed care disenrollment prior to planned surgery and disenrollment prior to unplanned surgery.

Dates: 07/01/2002 – 06/30/2005

Role: Co-Investigator

Project: ***Racial and Ethnic Disparities in Health Policy Model***

Source: National Institute on Aging

Purpose: To create a Markov cycle tree simulation model that will identify those diseases that contribute most to the disparities in mortality among African-Americans, Latinos, and Asians compared to Whites. This Disparities health Policy Model will also allow us to determine the degree to which the lower life expectancy among African-Americans can be attributed to a greater risk of getting a disease as opposed to a higher death rate from the disease once it has developed. Finally, we will use the model to predict the impact of selected clinical and policy interventions on the racial disparity in years of potential life lost.

Dates: 06/10/2002 – 06/30/2007

Role: Co-Investigator

Project: ***Post-Acute Care Practice Patterns after Major Orthopedic Surgery: The Impact of the Balanced Budget Act of 1997***

Source: Arthritis Foundation

Purpose: Medicare data between 1997 through 1999 will be analyzed to determine the impact of post-acute care policy changes implemented by the Balanced Budget Act of 1997. Acute and post-acute care utilization will be examined for patients undergoing either elective joint replacement surgery or surgical management of hip fracture. Re-hospitalization and specific post-operative complications will be analyzed.

Dates: 06/10/2002 – 06/30/2005

Role: Co-Investigator

Project: ***Interventions for Outreach and Access for Underserved with HIV Infection***

Source: Health Resources Service Agency

Purpose: To evaluate the Mobile HIV Outreach Program, a mobile HIV testing unit that serves South Central Los Angeles

Dates: 09/01/2001-08/30/2003

Role: Co-Investigator (PI: Cunningham)

Project: ***A Self-care Intervention for Latino Adults with Diabetes.***

Source: NIH/NIDDK

Purpose: To evaluate the costs and outcomes of a culturally appropriate intervention to improve diabetes self-care practices by enhancing self-efficacy, empowerment and knowledge among Latinos over age 55.

Dates: 07/01/2001-03/31/2005

Role: Co-Investigator (PI: Mangione)

Project: ***Long-Term Economic Outcomes in Depressed Patients***

Source: National Institute of Mental Health

Purpose: To determine how remission from an initial depressive episode affects

four-year followup economic outcomes.
 Dates: 04/01/2001-03/28/2004
 Role: Co-Investigator (PI: Wells/Schoenbaum)

Project: ***Pathways to Outcomes of Quality Improvement in Depression***
 Source: RAND Subcontract from NIH/NIMH
 Purpose: The goal of this project is to determine how remission from an initial depressive episode affects four-year followup economic outcomes, including employment status, labor force participation, income and wealth.
 Dates: 01/15/2001 – 03/31/2004
 Role: Co-Investigator

Project: ***Improving Alzheimer's Disease Care Through Education and Community Collaboration***
 Source: CA/HHS/Dept of Health Services University of California, San Francisco
 Purpose: Supplement to *California Regional Alzheimer's Disease Care Improvement Project*
 Dates: 07/01/2001 – 06/30/2003
 Role: Co-Investigator

Project: ***California Regional Alzheimer's Disease Care Improvement Project***
 Source: California Health Care Foundation
 Purpose: To develop, demonstrate and evaluate an integrated, community-wide, collaborative quality improvement strategy for the management of Alzheimer's Disease and other dementias in the greater San Diego area
 Dates: 02/01/2000 – 1/31/2003
 Role: Co-Investigator (PI: Vickrey/Mittman)

Project: ***Care Management by Nurse Practitioner/Hospitalist Team***
 Source: National Institutes of Health
 Purpose: To compare the effectiveness and costs of care management by a hospitalist MD/ nurse practitioner care management team to usual care
 Dates: 07/01/2000 - 06/30/2003
 Role: Co-Investigator (PI: Cowan)

Project: ***California Treatment Outcome Project***
 Source: Center for Substance Abuse Treatment
 Purpose: To evaluate the outcomes and potential cost offset associated with substance abuse treatment.
 Dates: 10/01/1998-09/30/2002
 Role: Co-Investigator (PI: Hser)

Project: ***Early Detection, Prevention and Treatment of HIV Core Support***
 Source: National Institute of Mental Health
 Purpose: To form a Center to enhance understanding, research and training to promote early detection of HIV, effective prevention and treatment programs, and to reduce HIV stigmatization at the societal, community and individual levels
 Dates: 09/01/1997-12/31/2006

Role: Co-Investigator (PI: Rotheram)

Project: **State Substance Abuse/Mental Health Managed Care Evaluation**
Source: Center for Substance Abuse Treatment
Purpose: To evaluate the impact of managed care on the substance abuse treatment and outcomes of Medicaid and indigent patients
Years: 1996-1999
Role: Co-Investigator (PI: McCarty/Argeriou)

Project: **Health, Work and Family in Mid-life**
Source: John D. and Catherine T. MacArthur Foundation
Purpose: To examine the relationships between work, health, and work-family conflict among a representative sample of Americans in mid-life
Years: 1995-1997
Role: Co-Investigator (PI: Cleary)

Project: **Risk Adjustment of Payments for Mental Health and Substance Abuse**
Source: Health Care Financing Administration
Purpose: To evaluate the performance of commercial risk adjustment systems in predicting behavioral health care expenditures and to develop a risk adjustment system specific to behavioral health
Years: 1994-1997
Role: Co-Investigator (PI: Richard Frank and Thomas McGuire)

1990-1991 Training grant, National Institute of Aging

1986 – 1988 Scholarship, Massachusetts Institute of Technology

1984 Scholarship, Deutsches Akademisches Austauschdienst

LECTURES AND PRESENTATIONS:

Ettner SL. Economic Incentives in Mental Health. Invited Keynote Speech, 30th Australian Conference of Health Economists, Adelaide, South Australia, October 2008.

Ettner SL, MacLean JC, French MT. Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes. 2nd Biennial Conference of the American Society of Health Economists, Durham, NC, June 2008.

Kim C, Tierney E, Herman WH, Thompson TJ, Mangione C, **Ettner SL.** Physician Perception of Reimbursement for Outpatient Procedures and Association with Performance of Procedures Among Managed Care Enrollees with Diabetes. 2nd Biennial Conference of the American Society of Health Economists, Durham, NC, June 2008.

AA Moore, Ramirez KD, Tallen L, Zamora E, Camacho D, Vie LL, Gewa C, Escobar D, Conroy L, Ang A, Kim S, **Ettner SL.** Older At-Risk Drinkers in Primary Care. Research Society on Alcoholism 30th Annual Scientific Meeting, July 2007.

Ettner SL, MacLean JC, French MT. Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes. Workplace Mental Health and Addiction: Research, Knowledge and Action. 2nd Canadian Congress on Research on Mental Health and Addiction in the Workplace. Vancouver, BC, May 2007.

Ong, M, **Ettner SL**, et al. Specialty Differences in Appropriate Antidepressant Dosing for Children. AcademyHealth Annual Research Conference, Orlando, Florida, June 2007.

Ong, M, **Ettner SL**, et al. Specialty Differences in Appropriate Psychotropic Dosing for Adults. AcademyHealth Annual Research Conference, Orlando, Florida, June 2007.

Ettner SL, Ang A, Hamilton E, Brown J and Jones E. Behavioral Health Outcomes: Does Intensity of Treatment Matter? An Instrumental Variables Approach. American Society of Health Economists Inaugural Meeting, Madison, Wisconsin, June 2006.

Ettner SL. Provider Incentives to Improve the Quality of Mental Health Care. Visiting Professorship Lecture, Program on Health Outcomes, University of North Carolina at Chapel Hill, April 7, 2006.

Conover C, **Ettner SL**, et al. Costs of Care for People living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders. Presentation at the Addiction Health Services Research Conference, Santa Monica, California, October 26, 2005.

Ettner SL, et al. Do Neighborhood Socioeconomic Characteristics Influence Psychiatric Treatment Patterns Among Managed Behavioral Healthcare Patients? A Multi-Level Modeling Approach. Presentation at the IHEA 5th World Congress Conference, Barcelona, Spain, July 12, 2005.

Ettner SL et. al. Does Geographic Access Influence the Use of VA vs. Medicare Services Among Dually Eligible VA Patients with Psychiatric Problems? Presentation at the IHEA 5th World Congress Conference, Barcelona, Spain, July 11, 2005.

Masaquel, A and **SL Ettner**. How Does the Persistence of Depression Influence the Continuity, Type and Generosity of Health Insurance Coverage? Presentation at "School, Work, Retirement: Coverage Impacts Across the Lifespan," Economic Research Initiative on the Uninsured, Ann Arbor, MI, July 7, 2005.

Ettner SL, Conover C. Services Outcomes and/or Costs. Panel Presentation at Complexities of Co-Occurring Conditions Conference, Washington, DC, June 23-25, 2004.

Ettner SL. Methods for Addressing Selection Bias in Observational Studies. National Research Service Award Training Workshop. San Diego, CA. June 5, 2004.

Ettner SL. Methods for Addressing Selection Bias in Observational Studies. Society of General Internal Medicine Annual Meeting. Chicago, IL. May 15, 2004.

Ettner SL. Behavioral Health and Work Productivity Losses: An Overview of the Evidence. National Managed Health Care Congress Conference, Washington, DC. May 7, 2004

Ettner SL. A Brief Tutorial on Endogeneity Bias and Instrumental Variables as a Possible Solution. UCLA/NPI Center for Community Health and Health Services Research Center with the UCLA/Drew EXPORT Center, Los Angeles, CA. March 1, 2004.

Ettner SL. Cost Offset in the California Treatment Outcome Project. Presentation at the 2004 Allied Social Science Associations Meeting in San Diego, CA. January 5, 2004

Ettner SL. Medicare Revised? Consumer Choice, Industry, Economic & Policy Consequences. Panel Participation at Renaissance Weekend in Charleston, SC. December 29, 2003.

Ettner SL. Bitter Medicine – The Advances in, and Costs of, Stopping Pain. Panel Participation at Renaissance Weekend in Charleston, SC. December 30, 2003.

Ettner SL et al. Quality of Care for HMO Patients with Diabetes: Do Physician Reimbursement Incentives Matter? Presentation at the 2003 Annual Meeting of the International Health Economics Association in San Francisco, CA. June 17, 2003.

Ettner SL et al. Cost Offset in the UCLA Hospitalist-Nurse Practitioner Intervention. Poster Presentation at the 2003 Annual Meeting of the International Health Economics Association in San Francisco, CA. June 17, 2003.

Ettner SL, et al. Cost Offset in the California Treatment Outcomes Project (CalTOP) Study. Presentation at the 2003 Annual Meeting of the International Health Economics Association in San Francisco, CA. June 17, 2003.

Schoenbaum M, **Ettner SL, et al.** The Long-Term Effects of Quality-Improvement Programs for Depression on Patients' Household Wealth. Presentation at the 2003 Annual Meeting of the International Health Economics Association in San Francisco, CA. June 17, 2003.

Ettner SL et al. Cost Offset in the California Treatment Outcomes Project (CalTOP) Study. Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN. June 29, 2003.

Ettner SL et al. Quality of Care for HMO Patients with Diabetes: Do Physician Reimbursement Incentives Matter? Poster Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN. June 29, 2003.

Ettner SL et al. Improving Access to Mental Health Care for Elderly and Disabled Medicare Beneficiaries: Are Carve-out Models a Viable Option? Poster Presentation at the 2003 AcademyHealth Annual Research Meeting, Nashville, TN. June 29, 2003.

Ettner SL. Funding for Health Services Research: Where Is It and How Do You Get It? Presentation at the USC School of Public Health. March 14, 2003.

Ettner SL. Cost-Effectiveness of Depression in Primary Care: Bridging Evidence and Practice. Workshop at the NIMH/NIAAA/NIDA conference Beyond the Clinic Walls:

Expanding Mental Health, Drug and Alcohol Services Research Outside the Specialty Care System. Washington, DC. March 10, 2003

Ettner SL. Improving Access to Mental Health Care for Elderly and Disabled Medicare Beneficiaries: Are Carve-Out Models a Viable Option? Gerontology Colloquium, Pennsylvania State University, October 2002.

Ettner SL. Sample Selection Bias: What Is It and What Can We Do About It? UCLA Drug and Alcohol Research Center Methods Seminar, February 2002.

Ettner SL, Crimmins E. Economic Measures in Health Surveys. NIH Workshop on Economic Measures in Health Surveys. Washington, DC, January 2002.

Ettner SL. Cost-Offset and Cost-Effectiveness in the CalTOP Study. State of California Drug and Alcohol Program meeting, January 2002.

Ettner SL. A Longitudinal Analysis of Mediating Factors in the SES and Health Relationship. The CWHHS Third Annual Research Conference, San Francisco, CA, December 2001.

Ettner SL. Panelist, Human Resources Round Table Program on "Managing Organizational and Personal Uncertainty in the Work Place." UCLA Institute for Labor and Employment, December 2001.

Ettner, SL, et al. The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment. Health Services Research Seminar, UCLA School of Medicine, November 2001.

Ettner, SL, et al. The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment. International Health Economics Association Third International Conference, York, England, July 2001.

Ettner SL. The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey. International Health Economics Association Third International Conference, York, England, July 2001.

Ettner, SL, et al. The Impact of Managed Care on the Substance Abuse Treatment Patterns and Outcomes of Maryland Medicaid Beneficiaries: A Natural Experiment. Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, Georgia, June 2001.

Ettner SL. The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey. Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, Georgia, June 2001.

Ettner SL, Grzywacz J. Socioeconomic Status and Health among Californians: An

Examination of Multiple Pathways. Research to Action: Shaping our Health Care Future. Academy for Health Services Research and Health Policy 2001 Annual Meeting, Atlanta, Georgia, June 2001.

Ettner, SL. HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study. American Foundation for AIDS Research (AMFAR) Conference, San Francisco, California, March 2001.

Ettner, SL. How Should We Choose Risk Adjusters? The Role of Economic Incentives. Risk Adjustment II: Achieving Fair and Accurate Mental Health Outcomes Information. Spokane, Washington, March 2001.

Ettner SL. The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey. American Economic Association Meetings, New Orleans, January 2001.

Ettner SL. The Impact of Medical and Behavioral Health Conditions on Work Outcomes: Panel Data Evidence from the California Work and Health Survey. The CWHS Second Annual Research Conference, San Francisco, CA, December 2000.

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B. RESEARCH PAPERS – PEER REVIEWED (IN PRESS)

1. **Ettner SL**, Cadwell BL, Russell LB, Brown A, Karter AJ, Safford M, Mangione C, Beckles G, Herman WH, Thompson T. Investing Time in Health: Do Socioeconomically Disadvantaged Patients Spend More or Less Extra Time on Diabetes Self-Care? *Health Economics*. 2008 Aug 15. [Epub ahead of print]
2. Kuhlthau K, Kahn R, Hill KS, Gnanasekaran S, **Ettner SL**. The Well-Being of Parental Caregivers of Children with Activity Limitations. *Maternal and Child Health Journal*. 2008 Nov 26. [Epub ahead of print]
3. FitzGerald J, Mangione C, Boscardin W, Kominski G, Hahn B, **Ettner SL**. Changes in Regional Variation of Medicare Home Health Care Utilization for Patients Undergoing Major Orthopedic Procedures in Response to Changes in Reimbursement. *Health Services Research*.
4. Conover CJ, Weaver MR, Arno PS, **Ettner SL**, for The HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Cost of Care for People Living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders. *AIDS Care*.
5. Duru OK, **Ettner SL**, Vassar SD, Vickrey BG. Cost Evaluation of a Coordinated Care Management Intervention for Dementia. *American Journal of Managed Care*.

C. RESEARCH PAPERS – PEER REVIEWED (SUBMITTED)

1. **Ettner SL**, MacLean JC, French MT. Does Having a Dysfunctional Personality Hurt Your Career? Axis II Personality Disorders and Labor Market Outcomes.
2. **Ettner SL**, French MT, MacLean JC, Popovici I. Too Busy or Not Interested? Heavy Drinking and Health Promotion Activities.

3. **Ettner SL**, Steers N, Turk N, Quiter E, Schmittziel J, Mangione CM. Entering and Exiting the Medicare Part D Coverage Gap: The Role of Comorbidities and Demographic Characteristics.
4. Conover CJ, Weaver MR, Arno PS, **Ettner SL**, for The HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Insurance Coverage among People Living with Combined HIV/AIDS, Chronic Mental Illness, and Substance Abuse Disorders.
5. Soban L, Yano E, Parkerton P, Rubenstein LV, **Ettner SL**. Influence of Area HMO Market Share on Colorectal Cancer Screening among Veterans.
6. Vargas R, **Ettner SL**, Robinson P, Leng M, Cunningham W. The Impact of Specialty HIV Medical Care or HIV-Related Support Services on Length of Stay, Charges and Severity of Disease for Persons Hospitalized with HIV.
7. Ong MK, Liu B, Hamilton E, Jones E, Brown J, **Ettner SL**. Specialty Differences in Appropriate Psychotropic Dosing for Adults.
8. Ong MK, Liu B, Hamilton E, Jones E, Brown J, **Ettner SL**. Specialty Differences in Appropriate Psychotropic Medication Dosing for Children and Adolescents.
9. Miranda J, Duan N, Sherbourne C, Roy-Byrne P, Wells KB, **Ettner SL**. Do Quality Improvement Interventions for Depression Result in Differential Outcomes for Economically Disadvantaged vs. Advantaged Patients: A Randomized Trial.
10. Raghavan R, Inoue M, **Ettner SL**, Hamilton BH, Landsverk J. Receipt of Mental Health Services Consistent with National Standards among Children in the Child Welfare System.
11. Meredith LS, Branstrom R, Azocar F, Fikes R, **Ettner SL**. A Collaborative Approach to Identifying Effective Incentives for Mental Health Clinicians to Improve Depression Care in a Large Managed Behavioral Healthcare Organization.
12. FitzGerald JD, Weng HH, **Ettner SL**. Regional Variation in Acute Care Length of Stay After Orthopedic Surgery.

RESEARCH PAPERS (NON-PEER REVIEWED)

D. RESEARCH PAPERS – NON-PEER REVIEWED

1. **Ettner SL**, Frank R. Risk Adjustment of Mental Health and Substance Abuse Payments. Technical Assistance Paper, Center for Mental Health Services, 1999.
2. Kaskie B, **Ettner SL**. Promoting Mental Health, Preventing Mental Illness

and Providing Effective Psychological Treatment to California's Aging Population. Report, Older Californian's Strategic Planning Initiative. Online with the ERIC database at: <http://askeric.org>, document number ED467101.

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4. **Ettner SL**, Crimmins E. National Institutes of Health Background Paper: Economic Measures in Health Surveys. Commissioned by NIH for the Workshop on Economic Measures in Health Surveys.
5. **Ettner, SL**. Does Treatment 'Pay for Itself'? Looking at the Economic Argument for Addiction Treatment. *Behavioral Healthcare*. 2006 May; 26 (5): 32-4.

E. RESEARCH PAPERS – NON-PEER REVIEWED (IN PRESS)

None.

F. RESEARCH PAPERS – NON-PEER REVIEWED (SUBMITTED)

None.

G. RESEARCH PAPERS – IN PREPARATION

1. Behavioral Health Outcomes: Does Intensity of Treatment Matter? An Instrumental Variables Approach. (First Author)
2. Do Neighborhood Socioeconomic Characteristics Influence Psychiatric Treatment Patterns Among Managed Behavioral Healthcare Patients? A Multi-Level Modeling Approach. (First Author)
3. How Do Medical and Psychiatric Comorbidities Affect the Likelihood of Receiving Guideline-Concordant Depression Treatment? Evidence from a Managed Behavioral Health Organization. (First Author)
4. Psychotropic Drug Use Patterns Among Managed Care Patients with Diabetes. (First Author)
5. How Did Medicare Part D Affect Utilization, Drug Adherence and Costs Among Medicare Advantage Enrollees with Diabetes? Investigation of A Natural Experiment. (First Author)
6. How Much of the Medicare "Doughnut Hole" May Be Avoidable Through Generic and Therapeutic Drug Substitution? Evidence from Medicare Advantage and Part D Plan Patients with Diabetes. (First Author)

7. Patient Outcomes of an Integrated Patient-Provider Educational Intervention to Reduce At-Risk Drinking Among Older Adults: The Project SHARE Study (First Author)
8. Changes in Physician Knowledge and Counseling About Risky Drinking Among Older Adults Resulting from an Integrated Patient-Provider Educational Intervention: The Project SHARE Study. (Senior Author)
9. Prevalence and Correlates of At-Risk Drinking Among Older Adults: The Project SHARE Study. (Senior Author)
10. Smoking as a Risk Factor? (Senior Author)
11. Determinants of the Level and Growth of Inequality: An Analysis at the U.S. County Level. (Senior Author)
12. Impact of Care Management, Clinical Information Systems and Provider Incentives on Cardiovascular Processes and Outcomes in Persons with Diabetes in Managed Care. (Co-Author)
13. Competing Demands for Time and Self-Care Behaviors Among Persons with Diabetes. (Co-Author)
14. Randomized Community-Based Intervention to Improve Self-Management of Diabetes Among Older African Americans and Latinos. (Co-Author)
15. Economic Evaluation of a Community-Based Participatory Research Intervention to Improve Self-Management of Diabetes. (Co-Author)
16. Perceived Safety in Los Angeles Neighborhoods: Is There an Association with Body Mass Index? (Co-Author)
17. Does Working Drive Us to Drink? Labor Force Participation and Binge Drinking in California. (Co-Author)
18. Offering an Electronic Prescribing System to Community Physicians: Does it Increase the Rate of Generic Prescribing? (Co-Author)
19. Cancer Specialty Care Among African-American and Caucasian Patients. (Co-Author)

CHAPTERS

1. **Ettner SL**, Frank RG. "The Use of Risk Adjustment to Set Capitation Rates." Chapter 6 in Manderscheid and Henderson (eds.), *Mental Health U.S.*, 1998.
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3. **Ettner SL.** “Mental Health Services and Policy Issues.” Chapter 12 in Andersen, Rice and Kominski (eds.), *Changing the U.S. Health Care System, 2nd Edition*. Jossey-Bass Publishers, 2001.
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6. **Ettner SL** and M Schoenbaum. “The Role of Economic Incentives in Improving the Quality of Mental Health Care.” In Jones (ed.), *Elgar Companion to Health Economics*. Edward Elgar, Northampton, MA, 2006.

CHAPTERS (IN PRESS)

None.

REPORTS

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LETTERS TO THE EDITOR

None.

REVIEWS

None.

EDITORIALS

None.

ABSTRACTS

None.

DOCTORAL STUDENT COMMITTEES

<u>Student</u>	<u>Dissertation Title</u>	<u>Completed</u>
Andrew Barnes (chair)	TBD	N/A
Sarah Starks (chair)	Cost and Effectiveness of Full Service Partnerships for Severe Mental Illness	N/A
Melissa Gatchell	TBD	N/A
Hui Cao	Three Essays in Health Economics	N/A
Jean Yoon (chair)	Adherence to Prescription Drugs and Adverse Health Events for the Chronically Ill	June 2008
Adele Kirk (chair)	The Relationship between Education and Health: Is it Causal?	August 2007
Danielle Rose Ash	Testing for Discrimination in Health Care	September 2006
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Soonim Huh	Prescription drug coverage and drug use among elderly Medicare beneficiaries	March 2005
Yee-Wei Lim	Access to Care for the Indigent in Los Angeles County's Public Health System: Impact of 1115 Waiver Related Restructuring Program	December 2003
Karen Swanson	Quality of Care for Depression	June 2002
Kevin Heslin	Specialist Self-Referral Insurance Policies and Access to Physicians with HIV Clinical Expertise: Impact on Patient Health-Related Quality of Life and Treatment Expenditures	June 2002
David Zingmond	A Description of the Vertical Integration of Acute and Post-Acute Care and Its Impact on Patients with Stroke in California, 1997 to 1998	March 2002

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Mitchell Wong (KO8)
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Roberto Vargas (EXPORT)
Kevin Heslin (EXPORT)

TEACHING

Course instructor:
HS237C

Advanced Methods for Health Services Research

Lectures:

MPH Practicum (USC)	HSR Funding Opportunities
HS200B	Mental Health
K30	An Introduction to the Assessment of Costs and Cost-Effectiveness
HE249E	Mental Health Policy
HS100	Mental Health Services
CCH Methods Tutorial	A Brief Tutorial on Endogeneity Bias and Instrumental Variables as a Possible Solution
N248D	Alternative Ways of Assessing Cost-Effectiveness of Health Care